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(to be used for all correspondence after initial filing)

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PTO/SB/21 (09-04)

TRANSMITTAL Filing Date FORM First Named Invent Art Unit

Application Number	10/802,669	
Filing Date	March 16, 2004	
First Named Inventor	Lee, Wai-Hon	
Art Unit	2878	
Examiner Name	Not yet assigned	
Attorney Docket Number	014554-002600US	

Total Numbe	r of Pages in This Submission		01.	4334-00200003	
		ENCLOSURES	(Check all that apply	<i>(</i>)	
Fee Tr	ansmittal Form	Drawing(s)		After Allowance Communication to TC	
	Fee Attached	Licensing-related	l Papers	Appeal Communication to Board of Appeals and Interferences	
Ameno	lment/Reply	Petition	44	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
	After Final	Petition to Conve	cation	Proprietary Information	
	Affidavits/declaration(s)	Power of Attorne Change of Corre	y, Revocation spondence Address	Status Letter	
Extens	ion of Time Request	Terminal Disclair	mer	Other Enclosure(s) (please identify below):	
Express Abandonment Request		Request for Refu	ind	Return Postcard 1 cited non-patent reference (25 pp)	
Inform	ation Disclosure Statement	CD, Number of C	CD(s)		
		Landscap	e Table on CD		
Certifie Docum	ed Copy of Priority		mmissioner is authori t 20-1430.	zed to charge any additional fees to Deposit	
	to Missing Parts/ Incomplete				
Applica	ation				
	Reply to Missing Parts under 37 CFR 1.52 or 1.53				
	SIGNA	TURE OF APPLICAT	NT, ATTORNEY,	OR AGENT	
Firm Name	Townsend and Town				
Signature	Paul	1. Hangt			
Printed name	Paul C. Haughey				
Date	Date October 3, 2005 Reg. No. 31,836				
	c	ERTIFICATE OF TRA	ANSMISSION/MA	ILING	
I hereby certif envelope add	y that this correspondence is b ressed to: Commissioner for Pa	eing deposited with the Unit stents, P.O. Box 1450, Alex	ed States Postal Servi andria, VA 22313-1450	ice with sufficient postage as first class mail in an 0 on the date shown below.	
Signature		In Com			
Typed or print	ed name Rosario G. Y	sip ()	Date 10/3/05	

Attorney Docket No.: 014554-002600US



HE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Wai-Hon Lee

Application No.: 10/802,669

Filed: March 16, 2004

For: OPTICAL POSITION ENCODER

DEVICE

Examiner: Brian J. Livedalen

Art Unit: 2878

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 October 3, 2005

Sir:

The reference cited on the attached PTO/SB is being called to the attention of the Examiner. Applicant had previously filed on July 27, 2005 an Information Disclosure Statement enclosing a copy of the European Search Report for the European application EP05251548.3 which European Examiner cites pages 28-51 of a PhD dissertation published in the German language. A copy of the written English-language translation of the cited pages of the of PhD dissertation is enclosed. It is respectfully requested that the cited reference be expressly considered during the prosecution of this application, and the reference be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Paul C. Haughey Reg. No. 31,836

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PCH:rgy

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

1

(use as many sheets as necessary)

Complete if Known				
Application Number	10/802,669			
Filing Date	March 16, 2004			
First Named Inventor	Wai-Hon Lee			
Art Unit	2878			
Examiner Name	Brian J. Livedalen			
Attorney Docket Number	014554-002600US			

U.S. PATENT DOCUMENTS+					
		Document Number			
Examiner Initials*	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

				FOREIGN PA	TENT DOCUME	NTS		
Examiner	Cite	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant		
Initials*	No. ¹	Country Code ³	Number⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶
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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	0001	J. Willhelm: "Dreigitterschrittgeber" 1978, Technische Universität Hannover, XP002327534, pp. 28-51	YES	

Examiner	Date
Signature	Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.